

FORM PTO-1390  
(REV 11-98)

ATTORNEY REFERENCE NUMBER

2861-4003

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

TBA

09/787334

INTERNATIONAL APPLICATION  
PCT/CA99/00844

INTERNATIONAL FILING DATE  
15 September 1999 (15.09.99)

PRIORITY DATE CLAIMED  
17 September 1998 (17.09.98)

TITLE OF INVENTION

G PROTEIN-COUPLED RECEPTOR ANTAGONISTS

APPLICANT(S) FOR DO/EO/US

Sylvain CHEMTOB and Krishna G. PERI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International application into English (35 U.S.C. 371(c)(2)). with oath
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Unsigned
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or Information:  
Published PCT Application Number PCT/CA99/00844 (International Publication Number WO 00/17348) with International Search Report  
Notification of Transmittal of the International Preliminary Examination Report (Form PCT/IPEA/416);  
International Preliminary Examination Report (Form PCT/IPEA/409) and substitute sheets 1, and 19-21 of PCT application attached.  
Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308)  
Statement Under 37 C.F.R. Section 1.821(f) or 1.825(b)  
Paper copy of Sequence Listing (3 sheets)  
Computer Readable Copy of Sequence Listing

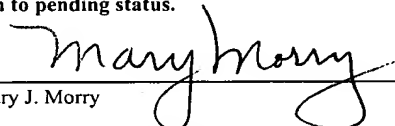
Verified Certification of Express Mailing Date  
Return postcard

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>TBA 09/787334</b>		INTERNATIONAL APPLICATION NO. <b>PCT/CA99/00844</b>		ATTORNEY'S DOCKET NO. <b>2861-4003</b>	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5) ): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO...\$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO.....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4).....\$690.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00  ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS      PTO USE ONLY	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	9 - 20 =	0	X \$18.00	\$ - 0 -	
Independent claims	1 - 3 =	0	X \$80.00	\$ - 0 -	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 860.00	
Reduction of 1/2 for filing by small entity, if applicable. Applicant claims small entity Status.				\$ 430.00	
<b>SUBTOTAL =</b>				\$ 430.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ - 0 -	
<b>TOTAL NATIONAL FEE =</b>				\$ 430.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ - 0 -	
<b>TOTAL FEES ENCLOSED</b>				\$ 430.00	
				Amount to be refunded:	\$
				charged	\$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 13-4500 in the amount of \$430.00 to cover the above fees.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4500, ORDER NO. 2861-4003 A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
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Mary J. Morry  
Registration Number 34,398

Docket No.2861-4003

IN THE UNITED STATES

[ ] RECEIVING OFFICE (RO/US)  
[X] DESIGNATED OFFICE (DO/US)  
[X] ELECTED OFFICE (EO/US)

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	EARLIEST PRIORITY DATE CLAIMED
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APPLICANT(S) FOR DO/EO/US		
Sylvain CHEMTOB and Krishna G. PERI		

Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Attention: DO/EO/US

**VERIFIED CERTIFICATION OF EXPRESS MAILING DATE**  
**(INTERNATIONAL APPLICATION (37 CFR 1.10(c))**

I declare that on March 16, 2001 I deposited with the United States Postal Service in an envelope "Express Mail, Post Office to Addressee", bearing Label Number EL762530078US, addressed to the "BOX PCT, Commissioner of Patents, Washington, D.C. 20231, Attention: DO/EO/US and having an express mail certification which I executed, the following papers:

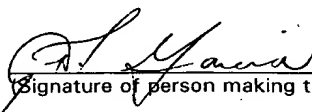
Verified Certification of Express Mailing Date (EL762530078US); Transmittal Letter to the United States Designated/Elected Office Concerning Filing Under 35 USC 371, in duplicate; Filing Fee to be charged to Deposit Account No. 13-4500; Published PCT Application Number PCT/CA99/00844 (International Publication Number WO 00/17348) with International Search Report; Notification of Transmittal of the International Preliminary Examination Report (Form PCT/IPEA/416); International Preliminary Examination Report (Form PCT/IPEA/409) and substitute sheets 1, and 19-21 of PCT application attached; Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308); Statement Under 37 C.F.R. Section 1.821(f) or 1.825(b); Paper copy of Sequence Listing (3 sheets); Computer Readable Copy of Sequence Listing (computer readable disk); and Return postcard.

A copy of these papers from the file of this application is attached.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

F. Garcia

(Typed or printed name of person making this verified statement)

Date March 16, 2001

(Signature of person making this verified statement)

(Verified Certification of Express Mailing Date (International Application) [13-12])